



Docket No.: 50325-0854

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sunil K. Srivastava

Serial No.: 10/715,932

Filed on: November 17, 2003

For: OPERATIONAL OPTIMIZATION OF A SHARED
SECRET DIFFIE-HELLMAN KEY EXCHANGE
AMONG BROADCAST OR MULTICAST
GROUPS

Confirmation No.: 4247

Group Art Unit No.: 2131

Examiner: NYA

PRELIMINARY AMENDMENT

Mail Stop Amendment.
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please amend the application as follows.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NO FEE TRANSMITTAL for FY 2004		Complete if Known					
<i>Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28</i>		Application Number	10/715,932				
		Filing Date	November 17, 2003				
		First Named Inventor	Sunil K. Srivastava				
		Examiner Name	Not Yet Assigned				
		Group/Art Unit	2131				
TOTAL AMOUNT OF PAYMENT	(\$) 0.00	Attorney Docket No.	50325-0854				
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.		3. ADDITIONAL FEES					
Deposit Account Number: 50-1302		Large Entity Fee Code (\$)		Small Entity Fee Code (\$)	Fee Description	Fee Paid	
Deposit Account Name: Hickman Palermo Truong & Becker, LLP		1051 130 2051 65			Surcharge - late filing fee or oath		
		1052 50 2052 25			Surcharge - late provisional filing fee or cover sheet		
		1053 130 1053 130			Non-English specification		
		1812 2,520 1812 2,520			For filing a request for reexamination		
		1804 920* 1804 920*			Requesting publication of SIR prior to Examiner action		
		1805 1,840* 1805 1,840*			Requesting publication of SIR after Examiner action		
2. <input type="checkbox"/> Payment Enclosed:		1251 110 2251 55			Extension for reply within first month		
<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		1252 420 2252 210			Extension for reply within second month		
3. <input type="checkbox"/> Applicant(s) is entitled to small entity status. See 37 CFR 1.27.		1253 950 2253 475			Extension for reply within third month		
		1254 1,480 2254 740			Extension for reply within fourth month		
		1255 2010 2255 1005			Extension for reply within fifth month		
		1401 330 2401 165			Notice of Appeal		
		1402 330 2402 165			Filing a brief in support of an appeal		
		1403 290 2403 145			Request for oral hearing		
		1451 1,510 1451 1,510			Petition to institute a public use proceeding		
		1452 110 2452 55			Petition to revive - unavoidable		
		1453 1330 2453 665			Petition to revive - unintentional		
		1501 1330 2501 665			Utility issue fee (or reissue)		
		1502 480 2502 240			Design issue fee		
		1503 640 2503 320			Plant issue fee		
		1460 130 1460 130			Petitions to the Commissioner		
		1807 50 1807 50			Petitions related to provisional applications		
		1806 180 1806 180			Submission of information Disclosure Stmt		
		8021 40 8021 40			Recording each patent assignment per property (times number of properties)		
		1809 770 2809 385			Filing a submission after final rejection (37 CFR § 1.129(a))		
		1810 770 2810 385			For each additional invention to be examined (37 CFR § 1.129(b))		
		Other fee (specify)					
		Other fee (specify)					
		*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)		(\$) 0.00	
1. BASIC FILING FEE							
Large Entity Fee Code (\$)		Small Entity Fee Code (\$)		Fee Description		Fee Paid	
1001 770 2001 385				Utility filing fee			
1002 340 2002 170				Design filing fee			
1003 530 2003 265				Plant filing fee			
1004 770 2004 385				Reissue filing fee			
1005 160 2005 80				Provisional filing fee			
SUBTOTAL (1)		(\$) 0.00					
2. EXTRA CLAIM FEES							
Total Claims		Highest Paid Claims		Extra Claims		Fee from Below	Fee Paid
30		- 30		0		18.00	= 0.00
Independent Claims		3		0		86.00	= 0.00
Multiple Dependent							
**or number previously paid, if greater; For Reissues, see below							
Large Entity Fee Code (\$)		Small Entity Fee Code (\$)		Fee Description			
1202 18 2202 9				Claims in excess of 20			
1201 86 2201 43				Independent claims in excess of 3			
1203 290 2203 145				Multiple dependent claim, if not paid			
1204 86 2204 43				**Reissue independent claims over original patent			
1205 18 2205 9				**Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)		(\$) 0.00					
SUBMITTED BY							
Name (Print/Type)		Christian A. Nicholes		Registration No. (Attorney/Agent)		50,266	Telephone (408) 414-1080
Signature				Date		May 5, 2004	

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